



## VISTA WEALTH MANAGEMENT, LLC PRIVACY NOTICE

Vista Wealth Management, LLC (referred to as "Vista") maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients' nonpublic personal information ("information"). Through this policy and its underlying procedures, Vista attempts to secure the confidentiality of customer records and information and protect against anticipated threats or hazards to the security or integrity of customer records and information.

It is the policy of Vista to restrict access to all current and former clients' information (i.e., information and records pertaining to personal background, investment objectives, financial situation, tax information/returns, investment holdings, account numbers, account balances, etc.) to those employees and affiliated/nonaffiliated entities who need to know that information in order to provide products or services in furtherance of the client's engagement of Vista. In that regard, Vista may disclose the client's information: (1) to individuals and/or entities not affiliated with Vista, including, but not limited to the client's other professional advisors and/or certain service providers that may be recommended or engaged by Vista in furtherance of the client's engagement of Vista (i.e., attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian, record keeper, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations. The disclosure of information contained in any document completed by the client for processing and/or transmittal by Vista to facilitate the commencement/continuation/termination of a business relationship between the client and/or between Vista and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, record keeper, insurance company, etc.), including, but not limited to, information contained in any document completed and/or executed by the client in furtherance of the client's engagement of Vista (i.e., advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider.

Vista permits only authorized employees and affiliates who have signed a copy of Vista's Privacy Policy to have access to client information. Employees violating Vista's Privacy Policy will be subject to Vista's disciplinary process. Additionally, whenever Vista hires other organizations to provide services to Vista's clients, Vista will require them to sign confidentiality agreements and/or the Privacy Policy.

Should you have any questions regarding the above, please contact James M. Knight, Chief Compliance Officer at 925.239.0361.